

Compliense Advisors

Privacy Policy ('Policy')

Definition and References

'Compliense' or 'Compliense Advisors' refers to Compliense Advisors, a Sole Proprietary entity based in Mumbai, India, providing governance, risk and compliance services including AML and FinCrime compliance advisory services.

'Online Services' refers to subscribing for or registering for our Website content like articles, emailers, newsletter, generally provided without any specific engagement agreement and whether for free or a charge.

'Privacy Policy' or 'Policy' refer to this Privacy Policy of Compliense, as amended and updated from time to time applicable in relation to Personal data.

'Services' means our professional services including professional advice provided by us to our clients under a specific written engagement, and includes such Services purchased online.

'Website' refers to the website owned and operated by Compliense, at url www.compliense.com, together with its related pages accessible through links on the website or on such related pages.

'We', 'us' or its grammatical variations refer to Compliense or the Website, as the context may suggest.

'You', 'your' or its grammatical variations refer to you or the person visiting the Website and referring, using or accessing its contents.

Personal data

"Personal data" means any information relating to an individual who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, date of birth, location data or an online identifier.

Personal data also refers to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of an individual.

What Personal data we collect and how we use

When you engage us to provide you Services or Online Services, we may need to collect and use Personal data where we have a valid business reason to do so.

Personal data collected may include one or more of the following:

- Basic information - name, date of birth, work details, relationship to a person
- Contact information – postal and email addresses, and contact numbers
- Financial information, as relevant



- Any other Personal data or information relating to you or other third parties which may be collected or you provide to us for the purpose of accessing any content on the Website or receiving our services.

Depending on the nature of your dealings with us, we may collect Personal data from you when you:

- Seek our professional Services
- purchase or subscribe to any of our Online Services
- have business dealings or relationship with us, or have referred a professional matter to us
- register your name or subscribe for job openings, or work with us as employee (including contractors / freelancers)
- attend any event, conference, seminar, workshop organised, conducted, sponsored by us.

We may use the Personal data in one or more of the following ways:

- To provide Services or Online Services to you
- To administer our relationship and maintain contractual relations
- For accounting and tax purposes
- For marketing and business development, develop relationships with clients, potential clients, service providers, contractors
- To invite you or communicate about professional events, conferences, workshops and the like
- To share with our employees, external contractors, vendors, service providers, technology providers engaged by us whose services or products we use in providing Services or Online Services to you, or where we outsource some of our functions and activities
- To recruit, retain employees and maintain their records during or after their employment. Employee records include related records like details of referees or family details provided to us
- To comply with our legal and regulatory obligations, directions of any court, tribunal or other authority or body, and in such case we will inform you as soon as practicable and if permitted
- To establish, exercise or defend our and our associate entities legal rights
- For our analysis, historical records keeping
- Customising or enhancing your online experience with our Website.

Your Personal data may be shared for business-related purposes outside of Compliense with our related entities, our agents and third-party suppliers, service providers or business collaborators. These can include contractors and consultants, information technology providers, business collaborators, event managers, mail houses, credit managers, debt collecting agencies or any other businesses whose services or support is needed in our day to day activities. We may also share non-personal or de-identified information with third parties for research, development, analytics or promotional purposes.



In some cases, the organisations that we may disclose your Personal data to may be located overseas, or they may hold information in cloud. Such organisations may be our own entities or operations, associate entities, or to third parties who provide services to us like IT services or operational support. We will always ensure that we have considered appropriate privacy considerations and risk, have confidentiality agreements, and restrict and prescribe how they can or cannot use the Personal data or information.

Cookies and electronic modes of information collection

We use cookies and similar technologies to collect usage data and improve our website. You can manage your cookie preferences through your browser settings.

When you visit our Website, a small data file called a "cookie" is stored on your device. They are widely used in such electronic communication technologies to make websites work more efficiently and provide information to the website owners.

We use cookies or similar digital markers to maintain user sessions and track the behaviour of Website visitors (eg: this may help in pages loading faster for you). This enables us to keep our site relevant and useful. Generally this information obtained through cookies will not identify you. We do not link this information back to your identity or other information that you have provided to us.

We and our service providers also use cookies and other digital markers to assist us with our online marketing services and advertise to our Website visitors on other third-party websites or platforms (eg. Google Ads Services or LinkedIn).

Cookies placed on third party websites help us to monitor the efficacy of our business relationships with third parties, improve performance as well as personalise your experience when you visit our and our service providers' websites. We may disclose the information collected via use of cookies on our Website to third parties for our marketing purposes.

Most web browsers are set by default to accept cookies. If your browser is set to not opting-out of cookies, you consent to our use of cookies in accordance with the terms of this Privacy Policy. If you use your browser settings to block all cookies, you may not be able to access and/or use all or parts of our Website, or any feature or functionality.

Your rights in relation to your Personal data

If we have collected or you have provided your Personal data to us, you have the following rights in relation to your Personal data:

- To access the Personal data held by us
- To have your Personal data corrected. For example, if it is incomplete or incorrect
- To opt out of receiving marketing communications
- To restrict or object to the processing of Personal data or request erasing Personal data
- Where you have provided Personal data voluntarily, or otherwise consented to its use, the right to withdraw your consent.



Some of the actions above can result in interruption to Online Services or Services to you, or there may be restriction in providing features or functionalities, or we may have to completely cease providing Online Services or Services to you.

Please write to us at compliense@compliense.com.au in relation to any of the above in regards to your Personal data, including for any correction or update.

Marketing communications

If you do not wish to receive our marketing communications, products or service announcements, kindly write to us on compliense@compliense.com.au; or use the 'unsubscribe' facility in any such communication.

Security of Personal data

Compliense takes reasonable steps to ensure the security of your Personal data and information, appropriate to our business size and context against unauthorised access, disclosure, alteration, or destruction. We will also ensure adequate security of any paper based Personal data. Our IT systems are password protected and subject to regular system check and anti-virus software update. All employees and internal contractors are under contractual obligation to treat all Personal data and other information as confidential.

Third party websites

If you visit the website of any third parties whether or not our service providers, contractors etc, or any third party that we may have referred to or linked to in any content on the Website, or who are providing services on our behalf as independent service provider, you will be bound by their terms and privacy policy, and we do not have control of any such third party's website terms and privacy policy.

Agreement

By using this Website and/or providing information to us, you accept and agree to the collection, use, management and disclosure of your Personal data in the manner described in this Policy.

Website Terms of Use

Our dealings, interactions and your use of Website is also subject to Terms of Use of the Website, as published on the Website.

Complaints

If you are concerned in regards to any Privacy matter, you can contact at compliense@compliense.com.au, addressed to The Principal, Compliense Advisors.

This Privacy Policy is current as of August 2025.